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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Sheet	1	of	5	Attorney Docket Number	GRAY032/01US
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
AWS ↓	A1	1,179,473		Taylor	04-18-1916
	A2	1,274,842		Basch	08-1918
	A3	1,326,875		Miller	12-30-1919
	A4	1,398,958		Basch	12-06-1921
	A5	1,577,183		Dowiarz	03-16-1926
	A6	1,628,483		Wiegand et al.	05-10-1927
	A7	1,988,880		Strouse	01-22-1935
AWS ↓	A8	10,995,930		Le Gette et al.	01-09-2003
	A9	138,894		Isidor	05-13-1873
	A10	2,070,216		Rosenberg	02-09-1937
	A11	2,216,954		McDonough	10-08-1940
	A12	2,246,031		Baritz et al.	06-17-1941
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	A14	2,333,392		Rosenzweig	11-02-1943
	A15	2,378,398		Fiedler	06-19-1945
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	A22	2,651,046		Berg	09-1953
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	A29	3,087,028		Bonnin	04-23-1963
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	A34	3,308,480		Elder	03-14-1967
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	A37	3,721,993		Lonnstedt	03-27-1973
	A38	3,728,741		Lepor, Meyer	04-1973
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	A40	3,841,325		Pickard	10-15-1974

Examiner Signature	/Andrew W. Sutton/	Date Considered	07/16/2006
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¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

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				Application Number	10/693,607
				Filing Date	October 27, 2003
				First Named Inventor	Brian E. LE GETTE
				Group Art Unit	3765
				Examiner Name	Unassigned
Sheet	2	of	5	Attorney Docket Number	GRAY032/01US

AWS	A41	3,944,018	Satory	03-16-1976
	A42	365,061	Friedman	06-1887
	A43	4,065,176	Fontana	12-27-1977
	A44	4,277,847	Florio	07-14-1981
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		Filing Date	October 27, 2003		
		First Named Inventor	Brian E. LE GETTE		
		Group Art Unit	3765		
		Examiner Name	Unassigned		
Sheet	3	of	5	Attorney Docket Number	GRAY032/01US

AWS	A92	5,509,146		Bryerton, Sr.	04-23-1996
	A93	5,528,774		Sanders	06-1996
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		Filing Date	October 27, 2003		
		First Named Inventor	Brian E. LE GETTE		
		Group Art Unit	3765		
		Examiner Name	Unassigned		
Sheet	4	of	5	Attorney Docket Number	GRAY032/01US

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office ¹	Number ²	Kind Code ³ (if known)		
AWS	B1	CA	2180036		Verbon	01-04-1997
	B2	CH	294003		Trich	01-04-1994
	B3	DE	2516709	A1	Gehörschutze	10-20-1976
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↓	B8	FR	2 536 253	A	Planche	05-25-1984
	B9	FR	2 532 838	A1	Schiess	03-16-1984
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AWS	B12	GB	1327614		Wormald Brothers Industries Limited	08-22-1973
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↓	B15	GB	2339642	A	Yang	02-02-2000
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	B19	JP	02-21010			02-07-1987
	B20	JP	00-244188	A	Yamauchi, et al.	12-04-1983
	B21	JP	00-29141			02-27-1985
AWS	B22	JP	63-21972		Hikari Trade Kabushiki Kaisha	08-25-1989
↓	B23	JP	57-205216		Kikumori Kawabata	12-27-1982
	B24	JP	2002-11036	A	Kikumori Kawabata	01-15-2002
↓	B25	JP	6-41720			06-03-1994
	B26	JP	58-15618			01-31-1983
	B27	JP	59-129813			08-31-1984
	B28	JP	10-83231		Kobayashi	04-07-1996
AWS	B29	JP	11-229223		Tada	08-24-1999
↓	B30	WO	01/76402	A1	The Gorgonz Group	10-18-2001
	B31	WO	94/09734		Sakharov	05-11-1994
	B32	WO	97/48296		Falco	12-24-1997
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↓	B36	WO	03/086124		Faussett, et al.	10-23-2003
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¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁴ Applicant is to place a check mark here if English language Translation is attached.

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				Filing Date	October 27, 2003
				First Named Inventor	Brian E. LE GETTE
				Group Art Unit	3765
				Examiner Name	Unassigned
Sheet	5	of	5	Attorney Docket Number	GRAY032/01 US

OTHER MATERIALS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	C1	1999-2000 Catalog, "Accessory Goods," Nitty Company, Ltd., 4 pgs.	
	C2	Advertisement: The "PODZ" ear warming eye glass retainer, Shred Alert Products of Hood River, Oregon, 5 pgs.	

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		Filing Date	October 27, 2003
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		Number	Kind Code ² (if known)		
AWS	A129	183,359		Abbott	10-17-1876
	A130	139,831		Stone	06-10-1873
	A131	188,292		Greenwood	03-13-1877
	A132	360,985		Basch	04-12-1887
	A133	836,087		Callahan	11-20-1906
	A134	1,167,368		Adams-Randall	04-04-1916
	A135	1,567,105		Bohlman	12-29-1925
	A136	2,437,049		Salisbury et al.	01-05-1945
	A137	2,946,860		Jansen et al.	07-26-1960
	A138	3,104,398		Palmaer	09-24-1963
	A139	3,311,713		Knuebel	03-28-1967
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	A141	4,455,457		Akira	06-19-1984
	A142	4,499,593		Antle	02-12-1985
	A143	5,003,589		Chen	03-26-1991
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	A146	5,835,609		LeGette et al.	11-10-1998
	A147	6,148,446		Leight	11-21-2000
	A148	6,377,697	B1	Cheng	04-23-2002
	A149	6,888,950	B2	Siskin et al.	01-08-2004
	A150	29/201,173		Le Gette et al.	03-12-2004
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AWS	A152	6,978,483	B2	Isom et al.	09-30-2004
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		Office ¹	Number ²	Kind Code ³ (if known)			
	B38	DE	3231218	A1	Wanner et al.	02-23-1984	
	B39	CN	2291158			09-16-1998	
AWS	B40	JP	10-85251		Tada et al.	08-24-1999	Abstract Only
	B41	JP	11-329333		Tada et al.	08-24-1999	Abstract Only
AWS	B42	KR	20-0226271		Yoon, Sung-Do	06-15-2001	Translation
✓	B43	KR	20-0314976		Kim, Dae-Su	06-02-2003	Translation
	B44	KR	30-0336877		Kim, Dae-Su	11-05-2003	Translation

OTHER MATERIALS					
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AWS	C1	Chicago Tribune article entitled "Winter From Head to Toe Lend an Ear to the Tale of This Intrepid Inventor," by Sid Moody, February 16, 1988, 4 pgs.			
	C2	"History of the United States Patent Office – The Patent Office Pony – A History of the Early Patent Office" by Kenneth W. Dobyns, 1994, [Introductory Material – 3 pgs.; Chapter 29 – 4 pgs.; and Sources and Annotations – 40 pgs.]			

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